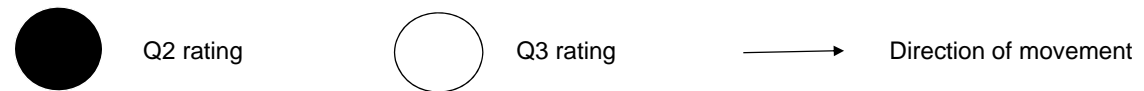


CORPORATE RISK REGISTER
Q4 MARCH 2019



- Risks**
1. Failure to deliver a balanced budget.
 2. Insufficient clarity around Member and Officer roles.
 3. Inadequate succession planning and staff retention.
 4. Inability to meet and manage the demands of homelessness.
 5. Failure to manager or failure to deliver or expose new risks as a result of poor project management practice.
 6. Legal obligations under GDPR are breached.
 7. Plans for improving the economic prosperity and regeneration of Northampton are not delivered.
 8. NBC fails to manage its partnerships (LGSS, NPH, NLT).
 9. Major or large scale incident causes business interruption.
 10. Impropriety or improper business activities leading to fraud or malpractice.
 11. Decisions made at Council or Cabinet level are not robust to withstand legal challenge..
 12. Inability og IT to service future requirements due to cyber attack.
 13. Non-compliance with Fire and Health & Safety legislation.
 14. Safeguarding arrangements are not adequate to protect vulnerable adults and children.
 15. Failure to deliver enough new housing.
 16. REMOVED: LGR risk - To be included in LGR project risk register.
 - 17a. NEW RISK: Impact of Brexit on NBC services.
 - 17b. NEW RISK: Impact of Brexit on Northampton economy.

Impact

Type of Impact		Health and Safety	Reputation	Financial	Legal / Regulatory
Level					
5	Catastrophic	Fatality	Critical impact on business reputation and/or national media exposure.	Financial loss in excess of £10 Million/ Impact on budget > 12 %	Regulatory and high level Government intervention/action.
4	Major	Permanent disabling injury and / or long term off work	Significant impact on business reputation and/or national media exposure.	Financial loss from £5 to £10 Million/ Impact on budget 6 -12%	Management challenged / Large legal liabilities. Likely to result in regulatory intervention/action.
3	Moderate	Injury requiring medical treatment , time off work and rehabilitation	Moderate to small impact on business reputation.	Financial loss from £2 to £6 Million / Impact on budget 2 - 6%	Management reviewed / legal reserves established. Triggers regulatory investigation.
2	Minor	Injury requiring medical treatment with no lost time	Some impact on business reputation.	Financial net loss from £0 to £2 Million/ Impact on budget < 2 %	Minimal / limited liabilities. Requires immediate regulator notification.
1	Insignificant	Minor medical treatment, no lost time.	No impact on business reputation.	No financial net loss or impact on budget	Minimal liabilities. No immediate regulator notification required.

Likelihood

5	Almost certain	Is expected to occur in most circumstances/ occurs daily - weekly
4	Likely	Could occur in most circumstances / occurs monthly
3	Possible	Has occurred here or elsewhere / Once a year
2	Unlikely	Hasn't occurred yet but could / once in 5 years
1	Rare	Hasn't occurred yet but could / once in 10 years